Early diagnosis of cerebral palsy

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Abstract: Cerebral palsy (CP) is the most common severe motor disability in children, with prevalence estimates of 1.5–4 per 1,000 live births. Early diagnosis of CP is challenged by the fact that the CP phenotype is highly variable. Identifying the infants with a high risk of CP during the first months of life is based on a combination of detailed patient history, validated neurological examination or neuromotor assessment, and brain imaging. Based on research evidence, the best three tools to detect high risk of CP before the corrected age of 5 months old are neonatal magnetic resonance imaging (MRI), the Prechtl Qualitative Assessment of General Movements (GMs), and the Hammersmith Infant Neurological Examination (HINE). After the corrected age of 5 months old, the recommended tools are brain MRI, the HINE, and standardized motor assessment tools. The sequential cranial ultrasound is the method of choice if brain MRI is not feasible. Early identification of CP aims at timely awareness of this life-long disorder that has possible co-morbidities such as epilepsy, visual impairment and hearing deficit. Early identification of CP also promotes early exploration of available treatment options and early intervention that aim to enhance innate brain plasticity for improved functional outcome.

Keywords: Cerebral palsy (CP); neurological assessment; neuroimaging; early intervention

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“Is my baby able to walk?” is one of the most common questions parents ask pediatric neurologists in the neonatal intensive care unit (NICU) and the NICU follow-up clinics. In the NICU context, this question refers to the risk of cerebral palsy (CP). Early detection of CP is clinically relevant to those infants who are at high risk of any developmental disorder based on the presently available research data. There is no consensus on how to precisely define the high-risk group, but internationally acknowledged recommendations are available. The very preterm infants (born under 32 weeks of gestation) and those who have one or more significant risk factors (e.g., abnormal neurological findings or symptoms at birth/neonatal period, and/or who had abnormal neuroimaging findings) are known to be at highest risk for adverse outcomes (https://newborn-health-standards.org/).

It is often questioned whether early diagnosis of CP is possible, and if so, whether there is any evidence that it is worthwhile. Emerging research data supports early intervention, mainly based on its effect on innate brain plasticity, which is at its most active phase during the early years of life. Animal research data has led to the main statement that without the active use of the motor cortex, there is a high risk of losing connections and selective function (1). Furthermore, clinical experience of late interventions that arise from delayed diagnosis suggests worse outcomes compared to active early intervention.

Early detection of high risk of CP relies on a combination of detailed patient history, developmental assessment, structured and validated neurological examination or neuromotor assessment, brain imaging, and further etiological investigations (e.g., neurophysiological or genetic investigations) when appropriate for differential diagnostics. The current overview focuses on CP and discusses its risk factors, clinical classification, and the present research evidence of available examinations aimed at
early diagnosis of CP. In addition, the role of brain imaging in early diagnostics is also discussed.

**CP**

**Definition**

CP is defined as a group of disorders related to the development of movement and posture that cause activity limitation, and are attributed to non-progressive disturbances that occur in the developing fetal or infant brain (2). CP may present solely as a motor problem, but co-morbidities like disturbances of sensation, hearing and visual deficits, communication and learning problems, intellectual disability, epilepsy, behavioral and skeletal problems are common (2-4).

**Prevalence**

The prevalence of CP varies from country to country (5), but in high-income countries it occurs in roughly two cases per 1,000 live births (6). A male predominance has been shown (7). Even though the origin of CP is multifactorial, the risk of developing CP increases with lower gestational age at birth. Nevertheless, reports over the last decade have shown that the rate of CP in children born preterm is decreasing (8-10). In a database study that included 20 European population-based registers, Sellier et al. showed that the prevalence of CP declined from 70.9 to 35.9 per 1,000 live births in infants with very low birthweight (1,000 to 1,499 g) during 1980 to 1996 (10). Despite the increased risk of CP in preterm children, the absolute number of children with CP is reported to be higher (54.5%) among children carried to term (11). The incidence of CP in infants carried to term is higher in low-income countries due to the higher mortality of preterm infants.

**Risk factors**

The most important clinical advice regarding risk factors for CP is the concept of keeping risk factors and causes of CP development in any individual as separate entities. To date, several risk factors have been reported; a proportion of these are partly overlapping and interacting (12). In clinical practice, it is helpful to systematically screen all information on risk factors related to gestation period, i.e., prenatal, perinatal and postnatal factors. Prenatal factors include, e.g., genetic clotting problems in the family, signs of fetal distress, intrauterine growth restriction, multiple births, prematurity, maternal-fetal infections and placental injury. Among perinatal factors, the role of isolated birth asphyxia has been shown to be much less central than previously believed (13). Perinatal kernicterus, postnatal administration of steroids, sepsis and meningitis may also be part of the complex scenario of developing CP (12). Hydrocephalus and head traumas are examples of possible postnatal risk factors. The most common imaging findings related to CP are discussed later in this overview. If there are no identifiable risk factors in the patient history or in the course of clinical evolution, or if the brain imaging does not support the diagnosis of CP, thorough investigations of differential CP diagnosis is recommended.

**Classification**

The most common way to describe CP has been based on topographic features, i.e., the parts of the body involved in CP. In quadriplegia, all four limbs are involved; in diplegia, both legs show functional limitation; in hemiplegia, only one side of the body shows typical findings of CP. An alternative way to classify CP is provided by the Surveillance of Cerebral Palsy in Europe (SCPE), which classifies CP into a unilateral (one side of body) or bilateral (both sides of body) type. According to the Australian Cerebral Palsy Register Report, 38% of all children with CP have unilateral CP. Among those with bilateral CP, 37% have diplegia and 24% have quadriplegia (14).

The SCPE expert group has also provided a recommendation for how to define the CP sub-types into four main categories: spastic CP (including both unilateral and bilateral types), dyskinetic CP (including both dystonic and choreo-athetotic types), ataxic CP, and non-classifiable CP (15). The spastic types are the most common (86%), whereas the dyskinetic, ataxia and non-classified types cover 6%, 5%, and 3% of cases, respectively. The sub-type of CP can usually be reliably defined in all patients that are at least 2 years old.

**Early diagnosis of CP**

**Early clinical signs of CP**

Clinical signs of motor abnormalities that develop later are often very unspecific to CP in the neonatal period and early infancy. Instead, they are signs that are often seen in different injuries and disorders of the central nervous
system. In fact, because the central nervous system rapidly develops in early infancy (i.e., before 2 years of age)—and hence the neurological findings are always changing—it has been debated if CP can even be diagnosed at all during this period. Moreover, the clinical pattern of how the early unspecific neurological findings change into specific signs of CP differs widely among infants. The characteristics of the wide spectrum of brain injuries related to CP also vary, and factors relating to the individual (e.g., neuroplasticity, general health status) and environment (e.g., family related factors, intervention) further modify the clinical outcome. The common early signs and findings of CP are listed in Table 1.

According to Hubermann et al. (16), children admitted to the NICU had been diagnosed with CP much earlier (mean 9.3±10.2 months) than those infants who developed CP later but were not admitted to the NICU (mean 28.1±24.9 months). Furthermore, there was a long delay in the diagnosis by the primary care providers (mean 28.8±27.1 months), suggesting a lack of awareness of early signs and a need for further education at the primary level.

Evidence-based assessment tools in the clinics

In their systematic review, Novak et al. (17) identify the best three tools to detect high risk of CP before the corrected age of 5 months old: (I) neonatal magnetic resonance imaging (MRI) (86–89% sensitivity (18), (II) the Prechtl Qualitative Assessment of General Movements (GMs) (98% sensitivity) (19), and (III) the Hammersmith Infant Neurological Examination (HINE) (90% sensitivity) (20). After the corrected age of 5 months old, the best tools to recognize high risk of CP are brain MRI (86–89% sensitivity), the HINE (90% sensitivity), and the Developmental Assessment of Young Children (DAYC) (83% sensitivity) (21). The definition for high risk of CP is based on a combination of evident motor dysfunction and abnormal brain imaging findings known to relate to CP and/or clinical history indicating risk for CP.

The Prechtl Qualitative Assessment of GMs

GMs are the most frequent movement patterns in the first 3 months after term age. A characteristic of GMs is

### Table 1 The common early signs and findings of CP

| Invariable or poor attention and vigilance |
| Seizures |
| Poor head growth |
| Persisting primitive reflexes |
| Grasping reflex in fingers and toes |
| Asymmetric tonic neck reflex (ATNR) |
| Moro reflex |
| Cranial nerve dysfunction |
| Asymmetrical or poor facial movements |
| Poor or inconsistent visual attention and tracking |
| Strabismus or other abnormal eye movements |
| Hearing problems |
| Feeding problems |
| Abnormal quantity or quality of spontaneous movements |
| Passive or excessive movements |
| Monotonous or asymmetric movement pattern |
| Jerky, cramped, dystonic or other abnormal movements |
| Frequent or constant tremor |
| Asymmetric use of hands |
| Asymmetric weight bearing while supported in standing |
| Tiptoeing |
| Tone abnormalities |
| Poor head control |
| Increased extensor tone |
| Distal spasticity in limbs |
| Constant fisting of hands |
| Truncal hypotonia |
| Asymmetry of tone in limbs |
| Abnormal tendon reflexes |
| Exaggerated reflexes |
| Clonus |
| Positive Babinski sign |
| Delayed motor development |

CP, cerebral palsy.
that all parts of the body participate in these spontaneous movements. From 11 to 16 weeks post-term, GMs present as so-called fidgety movements that are described as being a continuous stream of small and fluent movements occurring irregularly over the body. The appearance of fidgety movements represents a phase in the re-organization of motor function that leads to the goal-directed motor activities (22). According to the vast research evidence, absent or abnormal fidgety movements are predictive of CP with 95–98% accuracy (17). Combining GMs with brain MRI has reportedly led to sensitivity and specificity of up to 100% in a cohort of extremely preterm infants (23).

In the clinics, GMs are easy to video record while the infant is fully awake, but not crying or fussing, and lying supine in a light bodysuit. A high-quality recording of 2–5 minutes is sufficient for confidently detecting the fidgety pattern. Outside of hospital settings, there is still little research data on the predictive value of GMs in a general population of newborn infants, which hinders its potential use in detecting high-risk infants within the low risk population (24,25).

**HINE**

The HINE method is a simple, quantifiable, neurologic examination for infants between 2 and 24 months of age (26). The aim of this neurological examination is to detect deviant neurological findings. The HINE has been proven to show a strong neuroanatomical correlation (i.e., good construct validity). The HINE method comprises three different components: neurological examination, developmental milestones, and behavior. The neurological component includes 26 items under the subsections of cranial nerve assessment, posture, movements, tone, and reflexes and reactions. The developmental milestone component is aimed at recording infants' motor development during the same appointment as the neurological examination. The milestones of head control, sitting, voluntary grasp, kicking, rolling, crawling, standing and walking are included. The behavior component is also an essential part of the examination, since the reliability of neurological findings is associated with emotional state and social orientation in young infants. The pattern of different clinical findings typical of CP is the key element of the utility of the HINE (e.g., increased tone in one of the upper limbs with fisted hand, combined with less tone in the trunk in the same side of the body that is affected).

The neurological component of the HINE (section 1) can be scored (global score range 0–78). The related norm reference range of scores is also available at 3, 6, 9 and 12 months of age, separately from the term-born infants (gestational age 37 weeks or over), moderately preterm infants (gestational age of 33 to 36 weeks), and very preterm infants (gestational age of 32 weeks and under) (26,27). The HINE has been shown to have CP detection sensitivity of 96% and specificity of 87% already at 3 months of age (28). The predictive accuracy to detect a high risk of CP at a corrected age of over 5 months is 90% (20). Moreover, it has been shown that the integrated use of GMs and the HINE improves diagnostic accuracy (29). The HINE method is particularly effective because of the specific and clinically useful feature that its scores can predict the later ambulation of an infant with CP (27,30). The advantage of the HINE is that it can be used for sequential follow-up of an infant. In clinics, the persistence or increase of abnormal neurological findings is one of the cornerstones in the diagnostics of CP.

There is no official certification system required for the use of the HINE method. The methodological teaching videos and main references, as well as examination proformas translated into multiple languages are available at www.hammersmith-neuro-exam.com. In principle, as a neurological examination the detection rate of neurological abnormalities using the HINE method is not bound to hospital settings.

**Standardized motor assessments**

The Developmental Assessment of Young Infants (DAYC) is a standardized interactive questionnaire with milestones achieved as reported by parents. Maitre et al. (21) have shown that when DAYC was used to assess former preterm and term-born NICU patients who were later diagnosed with CP, a decrease in the scores was seen between the ages of 6 and 12 months. This pattern was not observed in patients without CP. The motor delay quantified by the DAYC is reportedly 89% predictive of CP (17). Another standardized assessment, the Alberta Infant Motor Scale (AIMS), has been shown to be 86% predictive of an abnormal motor outcome (17). The AIMS was designed to be an observational tool to identify atypical motor development up to 18 months of age (31). The strength of the AIMS is that it is quick and easy to administer in clinical situations; according to the author’s experience, it also functions well if scored by physiotherapists in the community neonatal clinics.
Clinical neuroimaging

The diagnosis of CP is based on clinical criteria. Accordingly, by definition, brain imaging is not obligatory for diagnosis if it cannot be done safely or its arrangement is not feasible due to technical or financial resources. Brain imaging is highly recommended for understanding the possible pathogenic mechanism(s) related to the development of CP and the clinical phenotype. Brain MRI is preferred over other imaging modalities (17). Computed tomography (CT) should not be used due to its radiation load and moderate resolution. Instead, cranial ultrasound (cUS) is recommended either as combined with a brain MRI, or as the method of choice if MRI is not feasible (32,33).

The most common injury type is white matter injury (19–45%); grey matter injury is dominant in 21% of the findings, while focal vascular insults and malformations cover about 10% and 11%, respectively (34).

Major cUS abnormalities show high specificity and sensitivity for CP. For example, in two different cohorts (≤32 weeks of gestation, and 33–36 weeks of gestation) of high-risk preterm infants, grade III hemorrhage, venous infarction, cystic periventricular leukomalacia and focal infarctions showed 95% and 99% specificity, and 76% and 86% sensitivity, respectively, for CP (32). It is essential that cUS are performed sequentially during the first 4–6 weeks after birth, and that the last cUS in the sequence is timed between 36 to 40 weeks post-menstrual age due to the variable evolution time for clinically significant cysts (32).

Brain MRIs have been reported to detect abnormal findings in about 85–86% of children with CP (34,35). Subtle white matter lesions, myelination of the posterior limb of internal capsule (PLIC), and cerebellar lesions are findings for which brain MRI is superior to cUS (33). Mercuri et al. (36,37) have reported that the myelination of PLIC is a good predictor of motor outcome. They have shown that in term-born infants with middle cerebral artery infarction, the involvement of the parenchymal white matter, basal ganglia and thalamus, and the PLIC predicted hemiplegia.

Differential diagnosis of CP

For differential diagnosis, one should consider the child’s overall development, since cognitive impairment often presents together with motor delay. Dissociative motor development (i.e., gross motor development that only transiently lacks behind other aspects of development) and bottom shuffling are common benign variants of early motor performance. Mild ligament laxity is also a common constitutional characteristic in families.

It has been recommended that brain imaging should be performed on children with CP of unknown etiology (18). If there are no brain findings typical of CP, or if there are atypical features in the patient history (e.g., family history of CP), one should consider targeted genetic tests (e.g., hereditary spastic paraplegia, spinocerebellar ataxia, microdeletions/duplications, or other chromosomal aberrations), metabolic investigations (e.g., mitochondrial disorders, biotinidase deficiency) or neurophysiological investigations (e.g., brachial nerve palsy) (38).

Conclusions

There has been a longstanding debate about whether early identification of CP before two years of age is possible. Despite the various doubts, the accumulated research evidence convincingly shows that the high risk of CP can be detected already before 6 months of age. Early identification is important from the child’s, parent’s and society’s point of view. Recent reports provide data that methods such as systematic parental coaching as a means of early intervention can have positive effects on the overall functional outcome of high-risk infants (39,40). Determining the most effective means of early intervention is still under intensive research, but methods that include both motor and sensory stimuli, as well as those that activate children themselves in their everyday functions in their home environment hold at present the best promise (25,41).

Early detection of CP relies on a basic clinical principle; the combination of detailed patient history, especially known risk factors of CP, developmental assessment, and validated neurological examination or neuromotor assessment. The available evidence-based assessment tools, GMs and HINE, are relatively easy to integrate in clinics after appropriate training. Brain imaging is highly recommended as an integral part of the clinical diagnostic process.

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Footnote

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